

Elaine F. Marshall, Secretary of State

## State Agency Liaison Registration and Authorization Statement 2024

**Liaison Personnel Information**

Complete Name of Liaison: Complete Name of State Agency: Physical Business Address of Liaison (not a PO Box):

Mailing Address of Liaison: Telephone No. of Liaison: E-mail Address of Liaison: Optional secondary e-mail address (Example: Administrative Assistant):

## State Agency Entity Information

Complete Name of State Agency: Physical Address of State Agency (not a PO Box): \_ Complete Name of Contact Person: Title: Mailing Address of Contact Person: Telephone No. of Contact Person: E-Mail Address of Contact Person: Optional secondary e-mail address (Example: Administrative Assistant):

**\* “State Agency”** includes all agencies and constitutional officers of the State, including all boards, departments, divisions, constituent institutions of The University of North Carolina, and other units of government in the executive branch, on whose behalf the liaison influences or attempts to influence legislative and/or executive action. **Both Liaison AND the State Agency Contact Person must sign the certification.**

**General subjects on which the Liaison intends to lobby**

Enter codes from the subject identification table below. List all applicable categories. A statement of ALL will not be accepted.

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| **Code** | **Subject** | **Code** | **Subject** |
| 1 | Agriculture, horticulture, farming, and livestock | 17 | Health service, medicine, drugs and controlled substances, health insurance, hospitals |
| 2 | Amusements, games, athletics and sports | 18 | Higher education |
| 3 | Banking, finance, credit and investments | 19 | Housing, construction, building codes |
| 4 | Children, minors, youth, seniors | 20 | Insurance (excluding health insurance) |
| 5 | Church and religion | 21 | Labor, salaries and wages, collective bargaining |
| 6 | Communications, newspaper, television, radio, computers and information technology | 22 | Law enforcement, courts, judges, crimes, prisons |
| 7 | Consumer affairs | 23 | Licenses, permits |
| 8 | Ecology, environment, pollution, conservation, zoning, land and water use | 24 | Liquor, alcoholic beverages |
| 9 | Education | 25 | Manufacturing, distribution, services |
| 10 | Elections, campaigns, voting, political parties | 26 | Natural resources, forest and forest products, fisheries, mining and mining products |
| 11 | Equal rights, civil rights, minority affairs | 27 | Public lands, parks, recreation |
| 12 | Government, taxation, financing, revenue, budget, appropriations, bids, fees, funds | 28 | Social insurance, unemployment insurance, public assistance, workers compensation |
| 13 | Government, county | 29 | Transportation, highways, streets and roads |
| 14 | Government, federal | 30 | Utilities, power, cable television, gas |
| 15 | Government, municipal | 31\* | Other: (please  specify) |
| 16 | Government, state |  |  |

**\*Registration will be rejected if category 31 “Other” is selected and no subject is specified.**

### Report Preparer’s Identity/Signature (Rule 18 NCAC 12 .0209)

Print name of Preparer (if other than liaison):

Signature of Preparer: Date:

# Liaison and State Agency Contact Person Certification

**Liaison Certification**

I hereby certify that all information disclosed in this “State Agency Liaison Registration and Authorization Statement 2024” is true, complete and correct in accordance with Article 2 of Chapter 120C. By signing this certification, I understand I have an affirmative duty to comply with the Lobbying Law and the rules, including filing reports, as the law requires.

**Signature of Liaison Date**

# State Agency Authorization Certification

I hereby certify that all information disclosed in this “State Agency Liaison Registration and Authorization Statement 2024” is true, complete and correct in accordance with Article 2 of Chapter 120C.

**Signature of State Agency Contact** P**erson** D**ate**

## Instructions and Reminders

1. A liaison is any State employee, counsel employed under G.S. § 147-17, or officer whose principal duties, in practice or as set forth in that individual's job description, include lobbying legislators or legislative employees. G.S. § 120C- 100(a)(15).
2. **Registration must take place within one day of lobbying**. Registration will expire on December 31 of the current year unless written notification of termination or resignation is received prior to that date. The liaison and state agency shall file a new registration statement for each year. No registration fee is required.
3. Registration triggers reporting obligations. **Quarterly reports must be filed regardless of whether you have any reportable expenditures.**
4. If you resign as a liaison for your agency, you **must** file a resignation statement form **unless** the State agency has filed a termination statement.
5. While we don’t require you to provide an email address, be aware that notices and reminders regarding report due dates, etc., are sent as a courtesy and are only sent via email.
6. **How to e-file your Liaison Registration**: E-file by using the Lobbying Compliance Division Portal on our [website.](https://www.secretary.state.nc.us/lobbyists/security.aspx) Send the hard copy to the Department within seven days of your registration.
7. The Lobbying Act requires that you amend your registration within ten (10) business days if there are any changes after you register. Amendments must be filed electronically. NCGS § 120C-501(b), 120C-200(c).

### Reminders

* 1. The Lobbying Act applies to lobbying designated individuals in both the legislative and executive branches of State government. Check the Ethics Commission [website](https://ethics.nc.gov/) to identify:
     1. Which departments, agencies, boards, and commissions are covered, and
     2. Which individuals in those departments, agencies, boards, and commissions are designated individuals to whom the Act applies.
  2. The Lobbying Act requires that liaisons identify themselves and disclose the identity of the principal(s) for whom they are then lobbying before engaging in lobbying communications or activities with a designated individual. NCGS § 120C-200(e); 18 NCAC 12 Section 700.
  3. Be sure your spam filters do not block our email. All of our email to you will come from: [lobbyist@sosnc.gov.](mailto:lobbyist@sosnc.gov)