

NORTH CAROLINA DEPARTMENT OF THE SECRETARY OF STATE

CHARITABLE SOLICITATION LICENSING DIVISION

N.C.G.S. 131F-3(3) Exemption Request Form

NOTE: E-Postcards, 990-N are not accepted as proof of financial history.

1. Charitable/Sponsor organization's legal name: _____
2. Charitable/Sponsor organization's principal mailing address: _____
3. Charitable/Sponsor organization's principal telephone: _____
4. Charitable/Sponsor organization's e-mail address: _____
5. Name(s) under which you intend to solicit contributions (if different from legal name):

6. Purpose for which applying entity was established: _____
7. Charitable/Sponsor organization's fiscal year end month: _____
8. Does applying entity compensate (in any capacity):
 - 8a. An Officer? _____ YES _____ NO
 - 8b. A Trustee/Board Member? _____ YES _____ NO
 - 8c. An Organizer or Incorporator? _____ YES _____ NO
 - 8d. A Salaried Employee that occupies a seat on the board? _____ YES _____ NO
9. Does applying entity currently contract for compensation or plan to contract for compensation during the current registration year with a Fund-Raising Consultant or Solicitor? _____ YES _____ NO

If applying entity answered **YES** to **ANY** part of the above questions 8 or 9, applicant does not qualify for an exemption to the North Carolina charitable solicitation licensing requirements under N.C. Gen. Stat. § 131F-3(3). Applicant must either apply for a license or a separate exemption. License application forms and a copy of the laws governing charitable solicitation are available on this Department's website at www.sosnc.gov.

If applying entity answered **NO** to **ALL** parts of question 8 or 9, proceed to the next question.

Telephone: 919-814-5400 – Toll Free for NC Residents: 1-888-830-4989 – Facsimile: 919-807-2220

Website Address: www.sosnc.gov – Electronic Mail: csl@sosnc.gov

Mailing Address: P O Box 29622, Raleigh, NC 27626-0622

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If applying entity **received \$25,000 or more in charitable contributions** (as defined in N.C. Gen. Stat. § 131F-2(5)) in the immediate preceding fiscal year, **applicant will NOT qualify for an exemption** to the North Carolina charitable solicitation licensing requirements under N.C. Gen. Stat. § 131F-3(3) and must apply for a license. License application forms and a copy of the laws governing charitable solicitation are available on this Department's website at www.sosnc.gov.

NOTE: 131F-2(5) Contribution is defined as including a promise, pledge, grant of any money or property, financial assistance, or any other thing of value in response to a solicitation.

10. Does applying entity have any financial history, **(excluding a BUDGET)** and received less than \$25,000 in charitable contributions (as defined in N. C. Gen. Stat. § 131F-2(5)) in the immediate preceding fiscal year?

_____ **YES** Submit **ONE** of the following financial Forms, a, b or c with appropriate signatures:

_____ a. IRS Form 990 or 990 EZ signed by an officer of the organization. **(Excluding Form 990-N)**

_____ b. An Audited Financial Statement.

_____ c. The NC Annual Financial Report Form (available for downloading at www.sosnc.gov).

_____ **NO** Attach a budget for the current year containing projected revenues and projected expenses. *Initial applicants ONLY. If this is a renewal application, **DO NOT SUBMIT A BUDGET**, you must submit financial forms a, b or c.*

11. Has applying entity received a Federal Tax Exemption Determination Letter?

Attach a copy of the Federal Tax Exemption Determination Letter. (This is not required; however, if not received the organization will be listed as a "Non-Tax Exempt Entity" as described in Section .0305(d), Chapter 11 of Title 18 of the North Carolina Administrative Code)

_____ YES

_____ NO

NOTE: This registration must be updated on an annual basis.

(Signature of an Officer of the applying organization) (Print Name & Title)

(Completion Date)

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