



Elaine F. Marshall, North Carolina Secretary of State

2019 LOBBYIST EXPENSE REPORT – ZERO EXPENSE SHORT FORM

If you have reportable expenditures, use Form LR-ER long form.

MAILING ADDRESS: Lobbying Compliance Division
Department of the Secretary of State
PO Box 29622
Raleigh, NC 27626-0622

WEB: <https://www.sosnc.gov/>

STREET ADDRESS: 2 South Salisbury Street
Raleigh, NC 27601-2903

AMENDED REPORT (Check if amending previously filed report.) **Original Tracking #** _____

- PERIOD:**
- Quarter Ended March 31, 2019**
 - Quarter Ended September 30, 2019**
 - Quarter Ended June 30, 2019**
 - Quarter Ended December 31, 2019**

Name of Lobbyist as Registered: _____

Complete Name of Principal: _____

- I choose to maintain the paper original inked, signed, and notarized lobbying expense report in my own records for three years as required by Administrative Rule 18 NCAC 12 .1301; OR
- I choose to deliver the paper original inked, signed, and notarized lobbying expense report to the Lobbying Compliance Division of the Secretary of State within seven days as required by Administrative Rule 18 NCAC 12 .0214.

IMPORTANT INSTRUCTIONS FOR LOBBYIST AND NOTARY

THE LOBBYIST MUST SIGN AND DATE HERE TO CERTIFY THE REPORT UNDER OATH. VENUE (STATE AND COUNTY WHERE NOTARIZED) AND JURAT MUST ALSO BE COMPLETED. WARNING: INCOMPLETE CERTIFICATION OR NOTARIZATION MAY RESULT IN REJECTION OF REPORT.

STATE OF _____ **(Must be filled in)**

COUNTY OF _____ **(Must be filled in)**

The undersigned, being first duly sworn, hereby certifies that he/she has no expenditures pursuant to N.C.G.S. § 120C-402 to report for this quarterly period and that all information contained herein (including any attachments hereto) is true, complete and correct to the best of his/her knowledge and belief.

Signature of Lobbyist _____
Date

Sworn to (or affirmed) and subscribed before me,

this ____ day of _____, 201 ____.

Signature of Notary Public

Printed Name of Notary Public

My commission expires: _____ **(NOTARY STAMP OR SEAL)**

Signature of Report Preparer: _____

Printed Name of Report Preparer: _____

DO NOT COMPLETE UNLESS REPORT PREPARER IS A PERSON OTHER THAN THE REPORTING LOBBYIST WHO EXERCISED INDEPENDENT JUDGMENT OR DISCRETION AS TO THE INFORMATION REPORTED HEREIN.

FOR INFORMATIONAL USE ONLY; DISCARD BEFORE FILING.

- Expense reports are due quarterly, regardless of whether reportable expenditures are made, no later than 15 business days after the end of the calendar quarter.
- Please choose which kind of record keeping method you are utilizing for this report.
- Do not use a zero expense report form for a monthly report or for a quarterly report that requires incorporation of a prior monthly report. Incomplete reports may be rejected.
 - In addition, any reportable expenditure incurred while the General Assembly is in session with respect to lobbying legislators and legislative employees is reportable monthly no later than 10 business days after the end of the month.
 - The information reported on any monthly report should be incorporated by reference on the long quarterly report form in the space provided.
- NCGS § 120C-401(d) states: Each report required by this Article shall be in the form prescribed by the Secretary of State and filed electronically.
- You may submit completed (notarized) reports that have been electronically filed at <https://www.sosnc.gov/> but do not have an electronic notarization to the Department by one of the following methods:
 - (1) By United States mail addressed to the Lobbying Compliance Division, Secretary of State, Post Office Box 29622, Raleigh, North Carolina 27626-0622, postmarked within seven calendar days of the electronic filing;
 - (2) By hand-delivery in person or by a designated delivery service authorized pursuant to NCGS §1A-1, Rule 4, to the Lobbying Compliance Division, Department of the Secretary of State, 2 South Salisbury Street, First Floor, Raleigh, NC 27601-2903, by 5:00 PM within seven calendar days of the electronic filing, in the case of hand-delivery in person, or postmarked by the authorized delivery service in the case of delivery within seven calendar days of the electronic filing;
- If you have an electronic notarization, submit completed reports electronically with electronic notarization transmitted to the Department by 11:59 PM of the filing deadline.
- Any document attached to the filing other than the Department's form, must be compatible with, or convertible to Microsoft Word 2007.