

**NORTH CAROLINA DEPARTMENT OF THE  
SECRETARY OF STATE**

P. O. Box 29622  
Raleigh, NC 27626-0622

[www.sosnc.gov](http://www.sosnc.gov)  
919-814-5500

**RENEWAL**  
**APPLICATION FOR RENEWAL REGISTRATION AS AN ATHLETE AGENT**  
§78C-90(d)

**APPLICATION MUST BE TYPED OR PRINTED.**

**PLEASE NOTE THAT THIS APPLICATION MAY BE SUBMITTED ONLY IN THE NAME OF THE INDIVIDUAL SEEKING REGISTRATION AS AN ATHLETE AGENT. "INDIVIDUAL" REFERS TO A SINGLE HUMAN BEING.**

**THIS APPLICATION MUST CONTAIN CURRENT INFORMATION ON ALL MATTERS REQUIRED IN YOUR ORIGINAL REGISTRATION.**

Revised May 2018

Date: \_\_\_\_\_

**GENERAL INFORMATION**

1. Applicant's Name: \_\_\_\_\_
2. Name of Applicant's Business or Employer (if applicable): \_\_\_\_\_  
\_\_\_\_\_

3. Address of Applicant's Principal Place of Business:

Street Address \_\_\_\_\_

Post Office Box \_\_\_\_\_

City/State/Zip Code \_\_\_\_\_

Telephone (\_\_\_\_\_) \_\_\_\_\_

E-mail (optional) \_\_\_\_\_

4. **Business/Occupation.** Since the initial (or last) application filed, has there been any changes in the business or occupation engaged in by applicant? If yes, please provide the following information:

Business Name \_\_\_\_\_

Title \_\_\_\_\_

Dates in Position \_\_\_\_\_

Business Address \_\_\_\_\_

Supervisor's Name \_\_\_\_\_

Business Phone \_\_\_\_\_

5. Since the initial (or last) application filed, are there any new individuals for whom the applicant has acted as an athlete agent? Yes \_\_\_\_\_ No \_\_\_\_\_. If yes, please provide the following information:

<u>Name</u>	<u>Sport</u>	<u>Last Known Team</u>	<u>Dates of Representation</u>

6. **Business Membership**

**A. Non-Corporations.** If applicant listed his/her business as a non-corporation in the initial (or last) application filed, are there any change(s) of names and addresses of 1) partners; 2) members; 3) officers; 4) managers; 5) associates; and 6) profit-sharers associated with the business or employer? If yes, please provide the following information:

<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	

**B. Incorporated Business Entities.** If applicant listed his/her business as a corporation in the initial (or last) application filed, are there any changes of names/addresses of: 1) officers; 2) directors; 3) any shareholders of the corporation having an interest of five percent (5%) or greater associated with the corporation? If yes, please provide the following information:

<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	
<b>Name</b>	<b>Position</b>
<b>Address</b>	

## 7. Applicant and Business Membership Conduct

(NOTE: If you have previously disclosed a matter to the Secretary of State on an application, renewal application, or an amendment, you do not need to disclose the information again.)

State whether applicant, or any of the applicant's "business associates" have been subject to any of the following. Business associates include applicant's partners, officers, members, managers, associates, profit-sharers, or (if applicant is employed by a corporation) applicant's directors, officers or shareholders having an interest of 5% or greater.

- a) Have you or any business associates been convicted of a crime involving moral turpitude or a felony? Yes \_\_\_\_ No \_\_\_\_
- b) Have you or any business associates made a materially false, misleading, deceptive, or fraudulent representation in your application, any renewal application, or while acting as an athlete agent? Yes \_\_\_\_ No \_\_\_\_
- c) Have you or any business associates engaged in conduct that would disqualify you from serving in a fiduciary capacity? Yes \_\_\_\_ No \_\_\_\_
- d) Have you or any business associates engaged in conduct prohibited by North Carolina General Statute §78C-98? Yes \_\_\_\_ No \_\_\_\_

### **NCGS §78C-98. Prohibited conduct.**

**(a) An athlete agent, with the intent to induce a student-athlete to enter into an agency contract, shall not:**

- (1) Give any materially false or misleading information or make a materially false promise or representation.**
- (2) Furnish anything of value to a student-athlete before the student-athlete enters into the agency contract.**
- (3) Furnish anything of value to any individual other than the student-athlete or another registered athlete agent.**

**(b) An athlete agent shall not intentionally:**

- (1) Initiate contact with a student-athlete unless the athlete agent is registered under this Article.**
- (2) Refuse or fail to retain or permit inspection of the records required to be retained by G.S. 78C-97.**
- (3) Fail to register as required by G.S. 78C-88.**
- (4) Provide materially false or misleading information in an application for registration or renewal of registration.**
- (5) Predate or postdate an agency contract.**
- (6) Fail to notify a student-athlete before the student-athlete signs or otherwise authenticates an agency contract for a particular sport that the signing or authentication shall make the student-athlete ineligible to participate as a student-athlete in that sport.**

- e) Have you or any business associates had a registration or licensure as an athlete agent suspended, revoked, or denied or has any state refused renewal of your registration or licensure as an athlete agent? Yes \_\_\_\_ No \_\_\_\_
- f) Have you or any business associates engaged in conduct the consequences of which was that a sanction, suspension, or declaration of ineligibility to participate in the interscholastic or intercollegiate athletic event was imposed on a student-athlete or educational institution. Yes \_\_\_\_ No \_\_\_\_
- g) Have you or any business associates engaged in conduct that significantly, adversely reflects on your credibility, honesty, or integrity. Yes \_\_\_\_ No \_\_\_\_
- h) Has there been an administrative or judicial determination that you or any business associates have made a false, misleading, deceptive or fraudulent representation? Yes \_\_\_\_ No \_\_\_\_



**OATH/AFFIRMATION**

**I do hereby swear (affirm) that the information furnished in this form (and \_\_\_\_ attached pages, if any) is true and correct to the best of my knowledge under penalty of perjury. I understand that giving false information in this form constitutes cause for denial of my application or revocation of my Registration and could subject me to criminal prosecution.**

Signature of Applicant: \_\_\_\_\_

Date: \_\_\_\_\_

State of \_\_\_\_\_

County of \_\_\_\_\_

Sworn to (or affirmed) and subscribed to me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_  
Month

\_\_\_\_\_  
Year

\_\_\_\_\_  
Notary Public Printed Name

\_\_\_\_\_  
Notary Public Signature

My Commission Expires: \_\_\_\_\_

Notary Seal

**FEES - \$200.00**  
**APPLICATION FEES ARE NONREFUNDABLE**  
**Make checks payable to: NC Department of the Secretary of State.**

**RENEWAL OF REGISTRATION AS AN ATHLETE AGENT**

**Please check one.**

**NC APPLICATION FOR RENEWAL OF REGISTRATION**

**OTHER STATE APPLICATION FOR RENEWAL OF REGISTRATION**

**NOTICE OF ALTERNATIVE METHOD OF APPLICATION**

In lieu of submitting this application, an applicant seeking registration or renewal of registration as an athlete agent in North Carolina may submit:

- 1) A copy of another state's application for licensure or registration; or renewal of licensure or registration; and
- 2) A copy of the certificate or license of registration issued by the other state.

In addition, the following conditions must be met:

- A) The other state's application must have been submitted to the other state within six months immediately preceding its submission in North Carolina; and
- B) The applicant certifies that the information contained in the other state's application is current (may use Oath/Affirmation above); and
- C) The other state's application as it was submitted contains information substantially similar to that required by this application; and
- D) The applicant signed the other state's application under penalty of perjury as part of his or her application to the other state.

**REQUEST FOR TEMPORARY REGISTRATION**

The Secretary of State may issue a temporary certificate of registration while an application for registration or renewal is pending. An applicant must request a temporary registration in writing with his or her submission of a *completed* application for registration.